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PATENT
Attorney Docket No.: SONY-26500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Xin Xue et al.

Serial No.: 10/666,402

Filed: September 17, 2003

For: **MIDDLEWARE FILTER AGENT
BETWEEN SERVER AND PDA**

) Group Art Unit: 2194

) Examiner: Price, Nathan E.

) **TRANSMITTAL LETTER**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

) Customer No.: 28960

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

A Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon are enclosed, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: February 13, 2007

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 2/13/07 By: [Signature]



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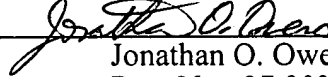
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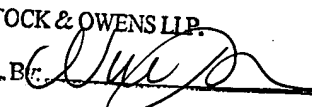
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Xin Xue et al.)	Examiner: Price, Nathan E.
Serial No.: 10/666,402)	<u>CERTIFICATION FOR</u>
Filed: September 17, 2003)	<u>SUPPLEMENTAL</u>
For: MIDDLEWARE FILTER AGENT)	<u>INFORMATION DISCLOSURE</u>
BETWEEN SERVER AND PDA)	<u>STATEMENT UNDER 37 CFR § 1.97(e)</u>
)	162 North Wolfe Road
)	Sunnyvale, CA 94086
)	(408) 530-9700
)	Customer No.: 28960

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on January 29, 2007. **Accordingly, I hereby certify that no reference contained within this information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)**

CERTIFICATE OF MAILING (37 CFR § 1.8(a))
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

- 1 -

HAVERSTOCK & OWENS LLP.
Date: 2/13/07 By: [Signature]

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: February 13, 2007

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants



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Attorney Docket No.: SONY-26500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 2194
Xin Xue et al.) Examiner: Price, Nathan E.
Serial No.: 10/666,402) **SUPPLEMENTAL INFORMATION**
Filed: September 17, 2003) **DISCLOSURE STATEMENT**
For: **MIDDLEWARE FILTER AGENT**) 162 N. Wolfe Road
BETWEEN SERVER AND PDA) Sunnyvale, CA 94086
) (408) 530-9700
) Customer No.: 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Publication No. 2004/0010467 A1; and
- U.S. Patent No. 6,226,744 B1.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: February 13, 2007

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

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HAVERSTOCK & OWENS LLP
Date: 2/13/07 By: [Signature]

FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark Office

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Serial No.: 10/666,402

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Several Sheets If Necessary)

Applicant: Xin Xue et al.

Filing Date: September 17, 2003

Group Art Unit: 2194

(37 CFR § 1.98(b))

U.S. PATENT DOCUMENTS

Examiner Initials		Publ No./ Patent No.	Publication Date/ Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	2004/0010467 A1	1/15/04	Hori et al.	705	50	3/28/01
	AB	6,226,744 B1	5/1/01	Murphy et al.	713	200	10/9/97
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
	AO						

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AP							
	AQ							
	AR							
	AS							
	AT							

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AU	
	AV	
	AW	
	AX	
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	AZ	
	BA	
	BB	

Examiner:

Date Consider

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.